



**ARTIFICIAL
INTELLIGENCE**

**MEETS SAFETY AND
HEALTH AT WORK**

Trustworthy Artificial Intelligence

Prof. Dr. André Steimers

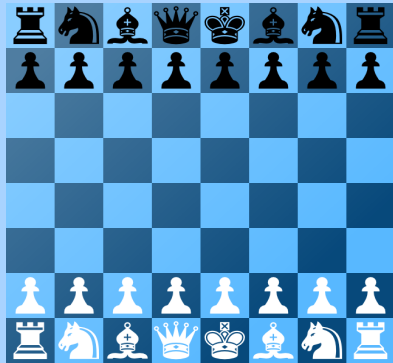
Koblenz University of Applied Sciences

Institute for Occupational Safety and Health of the
German Social Accident Insurance (IFA)



Overview

ARTIFICIAL INTELLIGENCE



MACHINE LEARNING



DEEP LEARNING

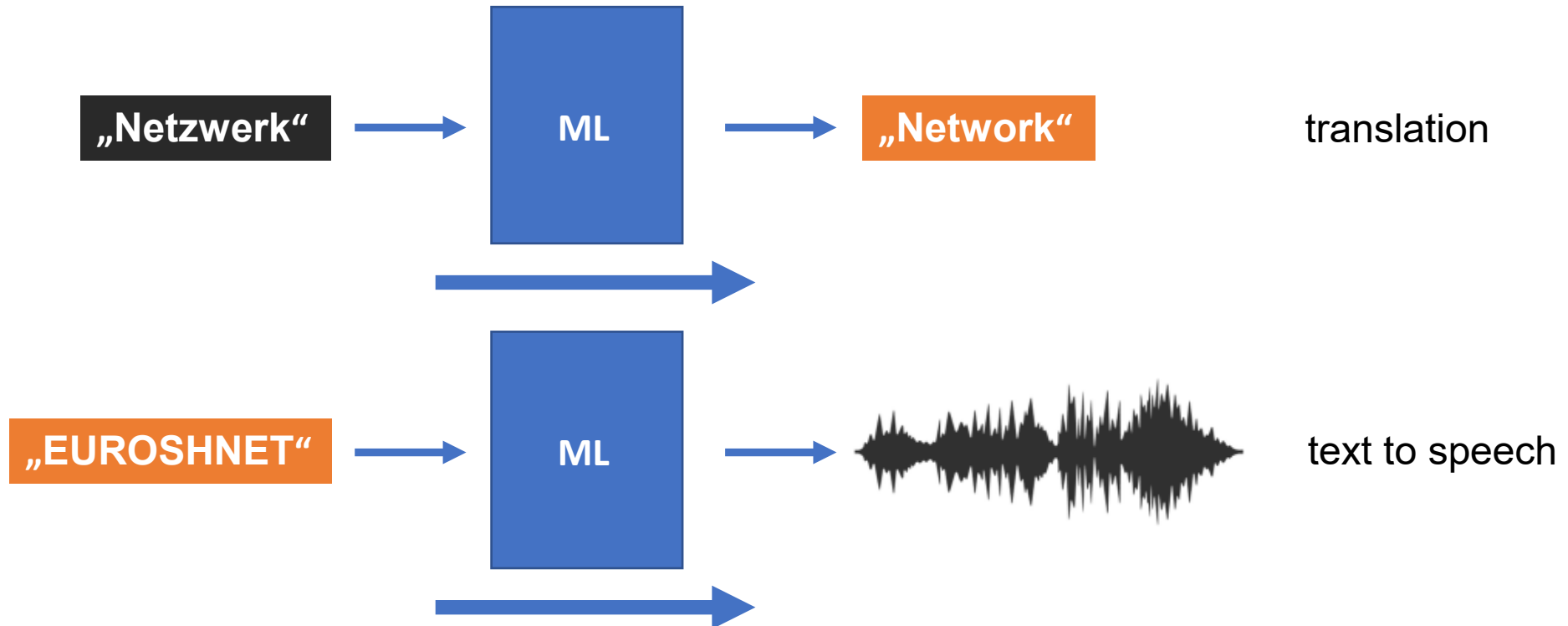


1950 1960 1970 1980 1990 2000 2010



Machine Learning

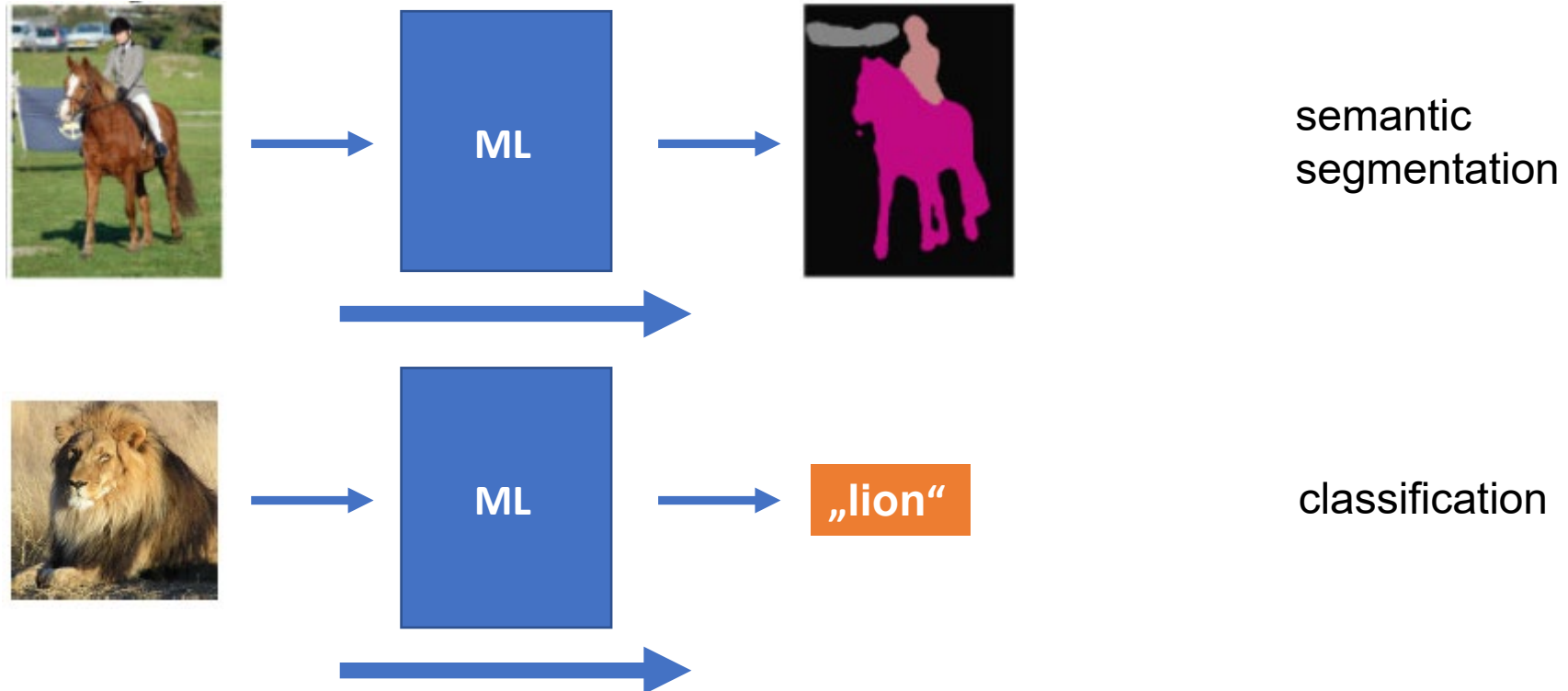
- In machine learning, a predominantly automated learning process uses sample data to create a model that maps an input to an output





Machine Learning

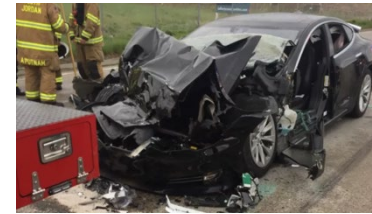
- In machine learning, a predominantly automated learning process uses sample data to create a model that maps an input to an output





Examples of some AI errors

- 07/2016 guard robot injures child in department store
- 11/2016 robot Xiao-Pang injures fair visitors
- 05/2017 rear-end collision Tesla model S fire truck
- 01/2018 rear-end collision Tesla model S fire truck
- 05/2018 rear-end collision Tesla model S police vehicle
- 01/2019 collision with oncoming traffic Tesla Model 3
- 08/2019 rear-end collision Tesla Model S tow truck
- 12/2020 malfunction of a service robot in a store





Examples of some AI errors

- 01/2016 China, Tesla Model S, 1 Driver†
- 05/2016 Florida, Tesla Model S, 1 Driver†
- 03/2018 Arizona, automated Uber Taxi, 1 Pedestrian†
- 03/2018 California, Tesla Model X, 1 Driver†
- 04/2018 Japan, Tesla Model X, 1 Pedestrian†
- 03/2019 Florida, Tesla Model 3, 1 Driver †
- 04/2019 Florida, Tesla Model S, 1 Pedestrian†
- 12/2020 California, Tesla Model S, 2 Persons Honda Civic†
- 05/2020 Norway, Tesla Model X, 1 Pedestrian†



[13]



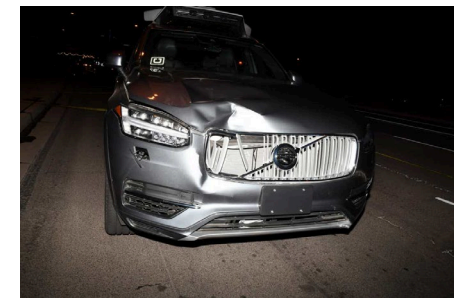
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Ethical and safety aspects

- **Trustworthy Artificial Intelligence**

- Depending on the sources of risk of the selected AI process.

1. Fairness
2. Privacy
3. Degree of automation and control

4. Complexity of the task and usage environment
5. Degree of transparency and explainability
6. Security
7. System hardware
8. Technological maturity

} Ethical aspects

} Reliability and robustness



Fairness



Source: www.jobrapido.com



Source: www.embedica.ai/fairness-2



Source: Joy Buolamwini, M.I.T. Media Lab

- **Recruiting tool**
discriminates against women
- **Historic bias**
ML model can learn negative correlation as men were often systematically favoured in the past
- **Face recognition**
poorer performance among people of colour
- **Data bias**
Underrepresented groups in the training data lead to higher error rates of these groups in the ML model



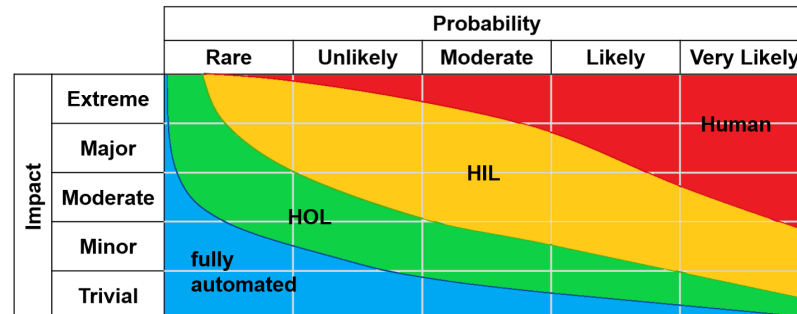
Degree of automation and control

System	Level of automation	Degree of control	Comments
Autonomous	Autonomy	Human out of the loop	The system is capable of modifying its operation domain or its goals without external intervention, control or oversight
Heteronomous	Full automation	Human on the loop Human out of the loop	The system is capable of performing its entire mission without external intervention
	High automation	Human on the loop	The system performs parts of its mission without external intervention
	Conditional automation	Human on the loop	Sustained and specific performance by a system, with an external agent ready to take over when necessary
	Partial automation	Human in the loop	Some sub-functions of the system are fully automated while the system remains und the control of an external agent
	Assistance	Human in the loop	The system assists and operator
No automation	Human in the loop	The operator fully controls the system	



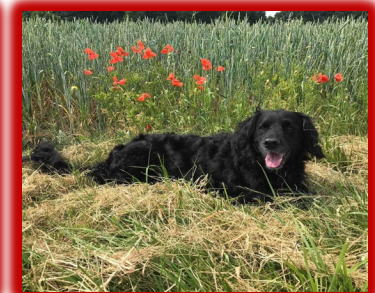
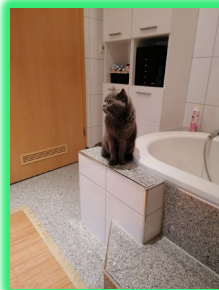
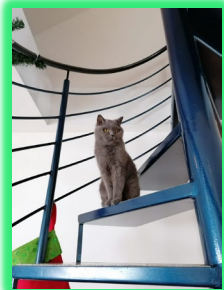


Degree of automation and control





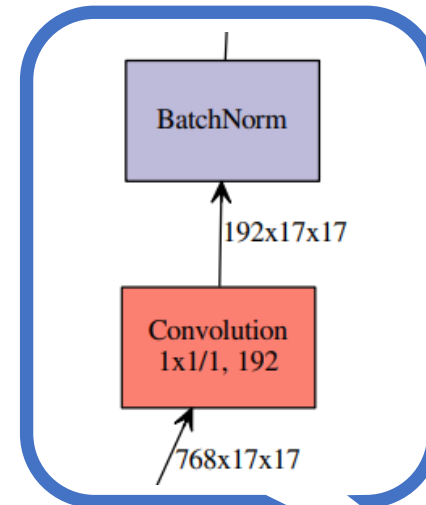
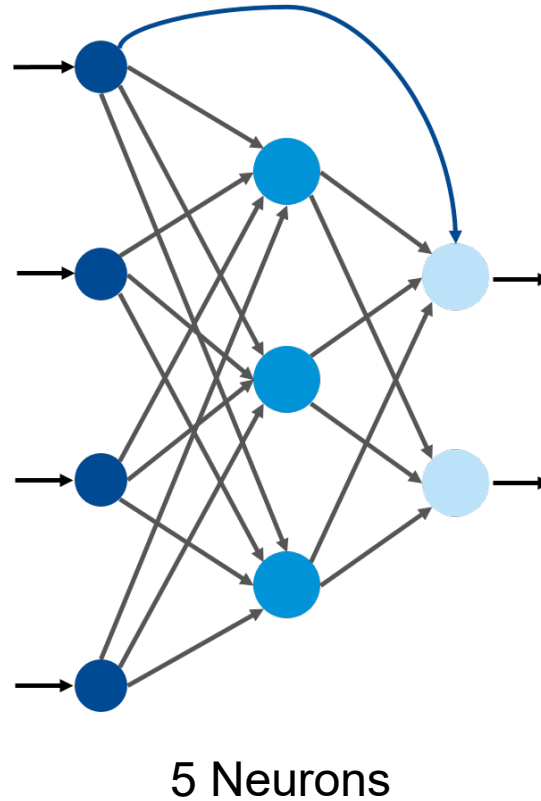
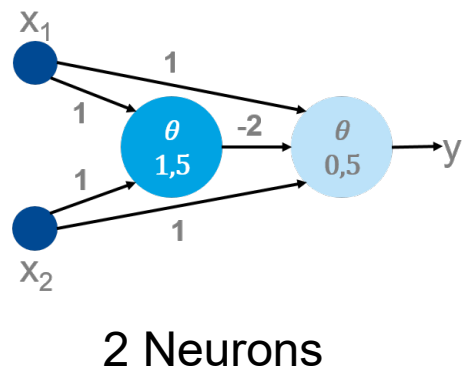
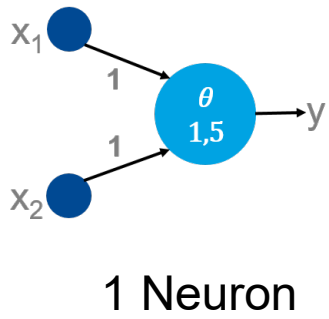
Degree of transparency and explainability



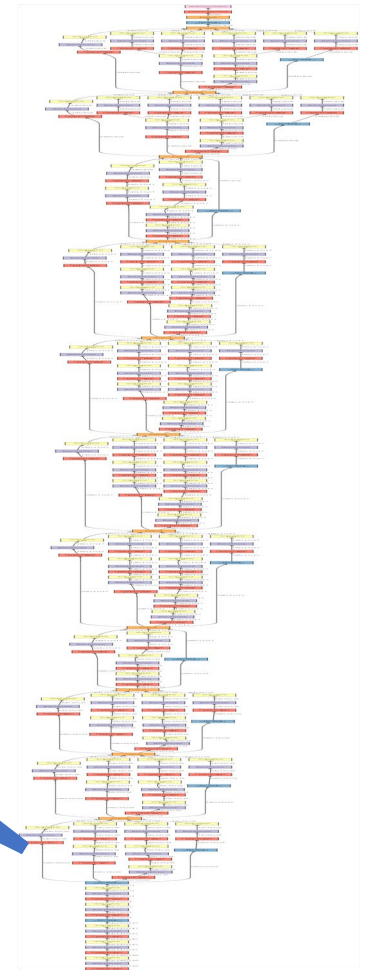


Degree of transparency and explainability

Inception Net V3

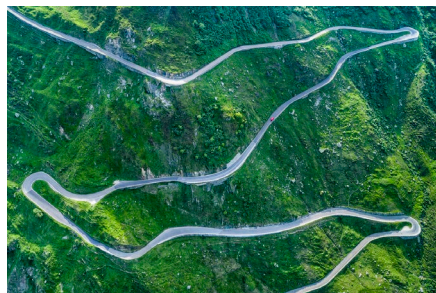
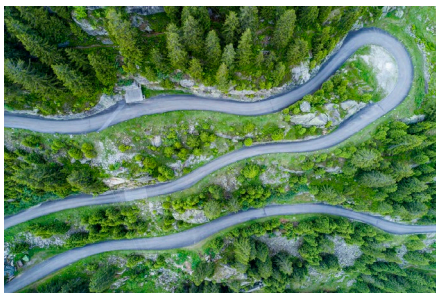
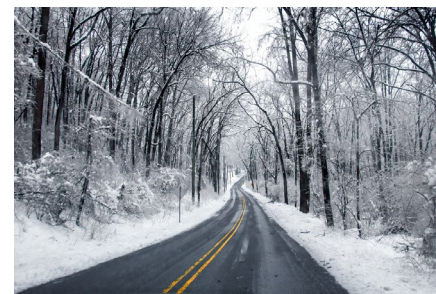
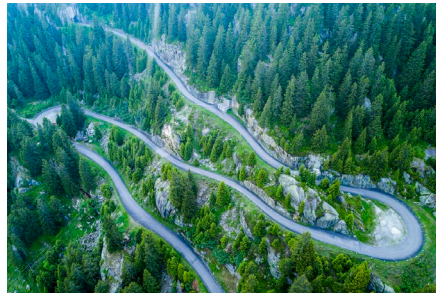
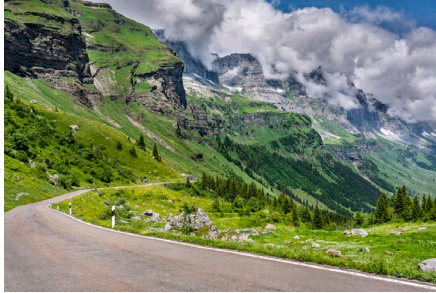


192x17x17 weights
768x17x17 weights





Complexity of the task and usage environment





Complexity of the task and usage environment

- **Completed learning**

- the model is static and can be extensively validated



- **Concept Drift**

- the environment or task of the system deviates from the specification
- the system fails because it does not adapt to the new conditions

- **Continuous learning**

- the model can adapt to changing environmental conditions



Source: <http://georg-dahlhoff.de>

- **Data Drift**

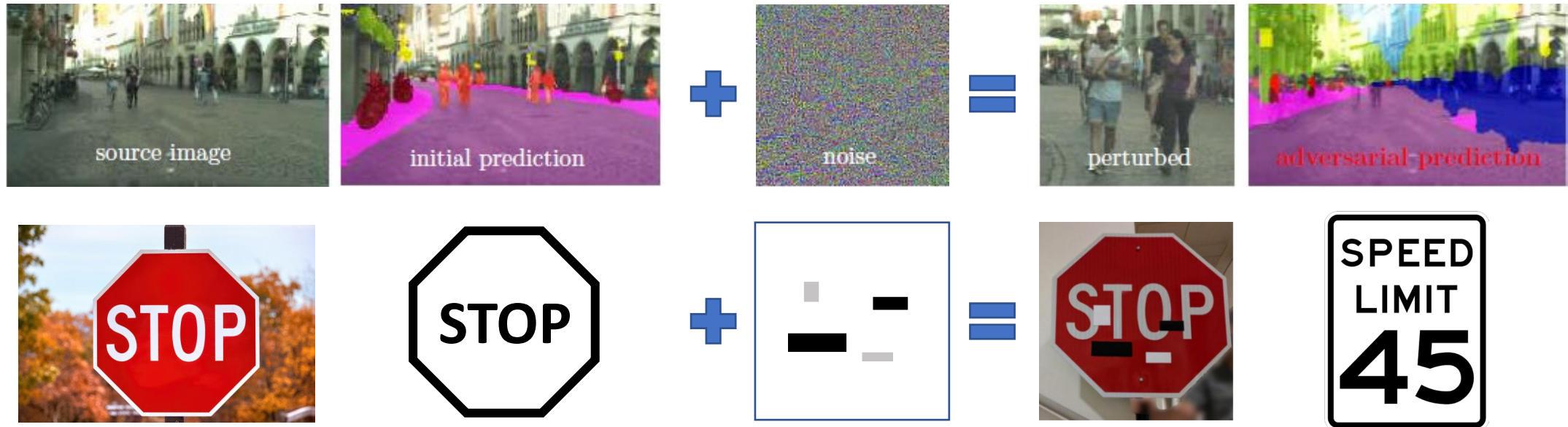
- the model differs from the original specification
- no static version exists that could be validated



Security

Adversarial Attacks

- A valid model is supplied with disturbed input data to deceive it.

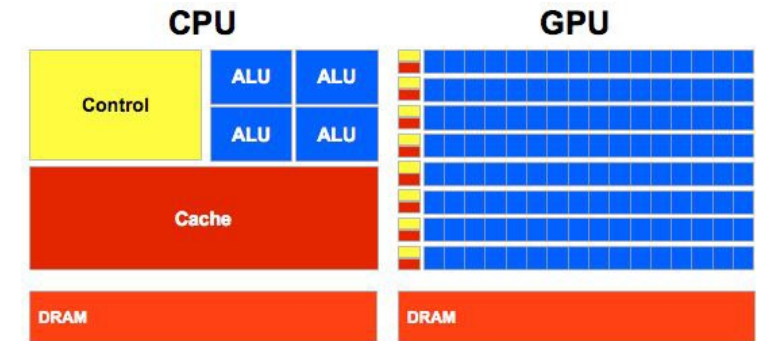


Sources: Koopman et. al., Challenges in autonomous vehicle testing and validation, SCAV 17, 2017
Eykholt et. al., Robust Physical-World Attacks on Deep Learning Visual Classification, CVPR, 2018



System-Hardware

- Two systems need to be considered:
 - Training system:
 - Training requires a lot of computing power
 - Cloud systems, edge systems, GPU clusters
 - Application system
 - Application of the finished model usually requires much less computing power
 - Edge systems, GPUs, **embedded systems**
- Asymmetry between training phase and application phase
 - Different memory management, memory architecture and memory size
 - Different programming languages
- Translation errors



Source: www.nvidia.com



Thank you for your attention




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*Environmental Research
and Public Health*



Article

Sources of Risk of AI Systems

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Abstract: Artificial intelligence can be used to realise new types of protective devices and assistance systems, so their importance for occupational safety and health is continuously increasing. However, established risk mitigation measures in software development are only partially suitable for applications in AI systems, which only create new sources of risk. Risk management for systems that for systems using AI must therefore be adapted to the new problems. This work objects to contribute hereto by identifying relevant sources of risk for AI systems. For this purpose, the differences between AI systems, especially those based on modern machine learning methods, and classical software were analysed, and the current research fields of trustworthy AI were evaluated. On this basis, a taxonomy could be created that provides an overview of various AI-specific sources of risk. These new sources of risk should be taken into account in the overall risk assessment of a system based on AI technologies, examined for their criticality and managed accordingly at an early stage to prevent a later system failure.

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More Information:

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